

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Huang et al.

SERIAL No.: 10/020,671

FILED: December 12, 2001

FOR: LIPOSOME COMPOSITION FOR

DELIVERY OF NUCLEIC ACID

EXAMINER: Unknown

ART UNIT: Unknown

Preliminary Amendment

Mail Stop Non Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Prior to examination, please amend the above-identified application as follows.

Amendments to the Drawings

Enclosed herewith are amended versions of Figures 2A, 2C, 2D, and 3D. In accordance with the Office's revised format, these drawing sheets have been labeled "Replacement Sheet".

In Fig. 2A, a typographical error in the final product structure where "R-O=O" is shown is corrected to "R-C=O".

In Fig. 2C, a CH_2 moiety is inserted between the NH and C-O linkage in the final product, consistent with the preceding structure prior to reaction with the lipid and $H_2/Pd-C$.

Fig. 2D is amended to show the reaction proceeds upon addition of H₂/Pd-C; DMAP/DCC are not required for this reaction.

In Fig. 3D, a carbon in the aminosugar that was omitted is added.